

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Gregory J. Smith and
Yinming Chen


Filed: Filed Concurrently Herewith Docket No.: 50019.251US01/P05124

Title: METHOD OF MODULATING CURRENT REGULATION CONTROL
LOOP'S CURRENT MAGNITUDE FROM A SECOND CONTROL
SIGNAL

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EL 964694225 US
Date of Deposit: September 8, 2003

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

By: 
Name: Tracy Gutsche

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted within three months of the filing date of the above-identified application, which is not an application under 37 C.F.R. § 1.53(d). Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

In accordance with 37 C.F.R. §1.98(a)(2), a copy of each document or other information listed on the enclosed Form 1449 is provided.

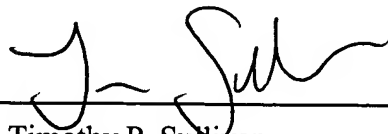
No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

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By: 
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FORM 1449* INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number: 50019.251US01/P05124	Application Number: Not yet Assigned
	Applicant: Gregory J. Smith et al.	
	Filing Date: Filed Concurrently Herewith	Group Art Unit: Not yet Assigned

U.S. PATENT DOCUMENTS							
EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	4,065,804	12/27/77	Rostad	361	96		
	6,157,555	12/05/00	Hemena et al.	363	71		
FOREIGN PATENT DOCUMENTS							
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
		Jih-Sheng Lai et al., "Multilevel Converters - A New Breed of Power Converters," IEEE Transactions on Industry Applications, Vol. 32, No. 3, pages 509-517, May/June 1996.					
		Gautam Sinha et al., "Rectifier Current Regulation in Four Level Drives," IEEE, pages 320-326, 1997.					

EXAMINER	DATE CONSIDERED
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.	